

# Non-Relativistic Quantum Gravity

Oriol Pujolàs

CERN

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0912.0550, PLB xxx xxx

+ in progress

with **Diego Blas,**  
**Sergey Sibiryakov**

Fundamentals of Gravity

Munich, April 14 2010

# Motivation

Great interest/merit of Hořava's proposal:

*explicit* construction of NR gravity theories, possibly renormalizable

many possible applications/implications

In this talk, focus on application as QG for our 3+1 world:

can any version of NR QG possibly be phenomenologically viable?

- no ghosts, no instabilities
- weakly coupled, if possible
- pass observational tests
- recovery of Lorentz invariance in IR

# Plan

- Anisotropic Scaling.
- NR Gravity. A healthy extension.
- Stückelberg Formalism
- Phenomenology & bounds
- Open issues & applications

# Anisotropic Scaling

Hořava's proposal: 'Anisotropic Scaling'

In the UV,  $w^2 \sim k^{2z}$   $z > 1$

$$G = \frac{1}{w^2 - k^2 - a k^{2z}} \Rightarrow \text{Loops are less divergent (& no ghosts)}$$

2 quick ways to see how Anisotropic Scaling assists renormalizability

# Anisotropic Scaling

## 1) Dimensional analysis

Free Kinetic term:  $\int dt d^3x \left\{ (\dot{\phi})^2 + \frac{\phi \Delta^z \phi}{M^{2(z-1)}} \right\}$  invariant under

$$x \mapsto b^{-1} x$$

$$t \mapsto b^{-z} t$$

$$\phi \mapsto b^{\frac{3-z}{2}} \phi$$

To this, we add interactions:

For  $z = 2$ ,  $[\phi] = 1/2 \Rightarrow \phi^{10}$  is **marginal** (renormalizable)

For  $z = 3$ ,  $\phi^n$  **relevant** (super-renormalizable)

$\phi^n \Delta^3 \phi$  **marginal** (renormalizable)

Note:

$\phi \Delta \phi$  relevant  $\Rightarrow$  generated  $\Rightarrow$  chance to recover Lorentz Inv

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E.g., 
$$L = \Lambda^2 \left[ (\dot{\phi})^2 + c^2(\phi) \phi \Delta \phi + d^2(\phi) \frac{\phi \Delta^2 \phi}{M^2} + e^2(\phi) \frac{\phi \Delta^3 \phi}{M^4} \right]$$

is renormalizable.

$$c(\phi) = c_0 + c_1 \phi + \dots$$

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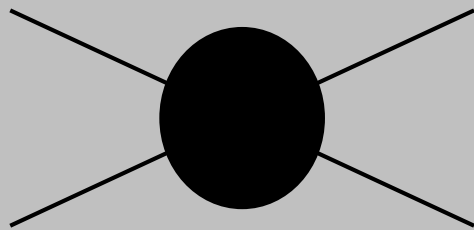
Note:

$(\ddot{\phi})^2$  irrelevant  $\Rightarrow$  not generated  $\Rightarrow$  no ghosts generated

# Anisotropic Scaling

## 2) Power-counting argument

Count superficial degree of divergence of 1PI diagrams



$$\sim \left[ \int dw d^3k \right]^L G(w, k)^I (k^{2z})^V$$

$$\delta \leq (z + 3)L - 2zI + 2zV = \boxed{(3 - z)L + 2z}$$

$$(V - I + L = 1)$$

With  $z=3$ , all loop orders diverge equally -> Renormalizable



# Anisotropic Scaling

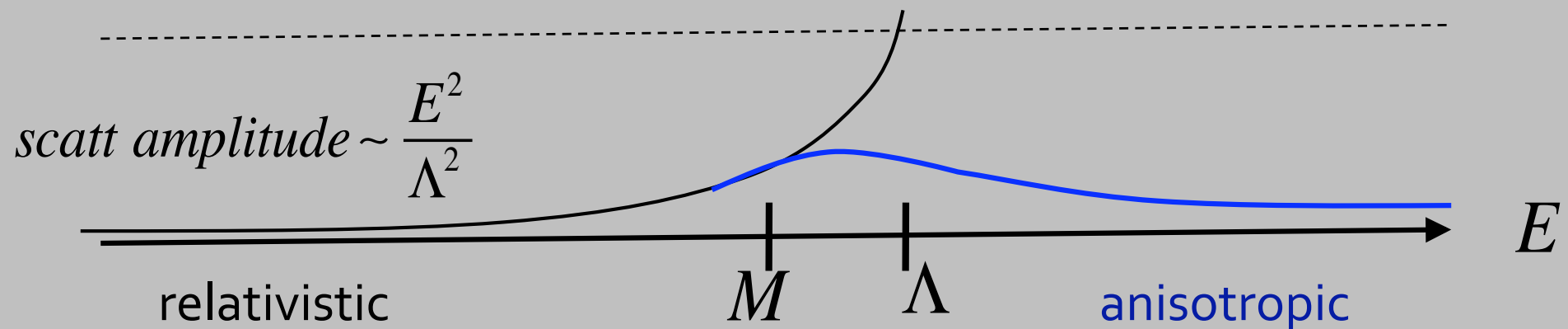
Hence, 
$$L = \Lambda^2 \left[ (\dot{\phi})^2 + c^2(\phi) \phi \Delta \phi + d^2(\phi) \frac{\phi \Delta^2 \phi}{M^2} + e^2(\phi) \frac{\phi \Delta^3 \phi}{M^4} \right]$$

is renormalizable.

$$c(\phi) = c_0 + c_1 \phi + \dots$$

Not only that...

It *may* be weakly coupled at all energy scales, if  $M \leq \Lambda$



# Anisotropic Scaling

Tree level Unitarity bound

disp  
relation  $E = \mathcal{E}(p)$

Optical Thm:

$$2\text{Im } \mathcal{M}(2 \rightarrow 2) = \sum_n \left( \prod_{i=1}^n \int \frac{d^3 p_i}{2\mathcal{E}(p_i)} \right) |\mathcal{M}(2 \rightarrow n)|^2 \delta^4(\Sigma p_\mu)$$

$\Rightarrow$

$$|\mathcal{M}(2 \rightarrow 2)| \leq 16\pi \mathcal{E}'(p_0) \frac{E_0^2}{p_0^2}$$

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$$\Rightarrow |\mathcal{M}(2 \rightarrow 2)| \leq \left( \frac{E_0}{M_*} \right)^{3 \frac{z-1}{z}}$$

Consider  
toy theory:

$$S = \alpha M_P^2 \int d^4 x \left\{ \left( \varphi + \sum_{n \geq 2} a_n \varphi^n \right) \left[ -\square + \frac{\Delta^3}{M_*^4} \right] \varphi \right\}$$

$$\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \sim \frac{E_0^2}{\alpha M_P^2} \leq \left\{ \begin{array}{ll} 1 & \text{for } E_0 \leq M_* \\ \left( \frac{E_0}{M_*} \right)^2 & \text{for } E_0 \geq M_* \end{array} \right\} \Rightarrow M_* \leq \alpha M_P^2$$

# Does the trick work for gravity ??

~~Lorentz Invariance~~  $\Rightarrow$  (part of the) gauge group broken

$\Rightarrow$  additional degrees of freedom

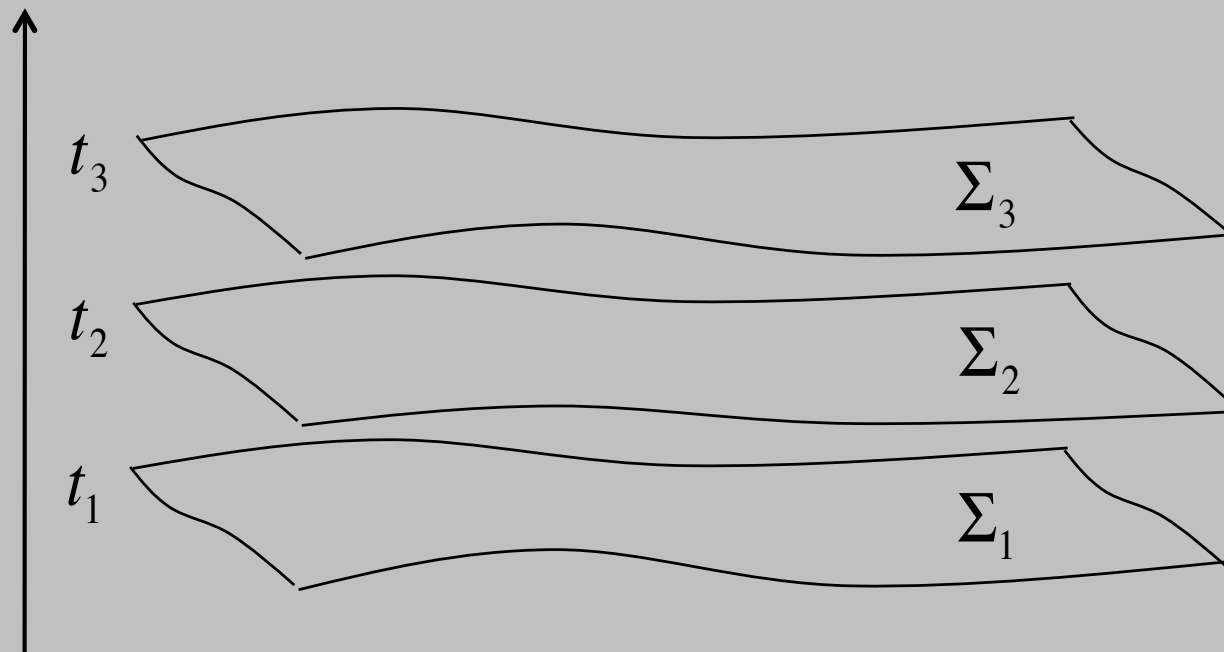
One needs to be extra-careful, or else extra d.o.f. pathological

# Non-Relativistic Gravity

Hořava '09

Introduce preferred time coordinate  $t$  (back to "Absolute time")

Globally defined *Foliation* by spatial 3D surfaces:



# Non-Relativistic Gravity

Hořava '09

Introduce preferred time coordinate  $t$

3+1 split:  
(ADM)

$$g_{\mu\nu} = \left( \begin{array}{c|c} N^2 - N^i N_i & N^i \\ \hline N^j & \gamma_{ij} \end{array} \right)$$

Foliation-  
preserving diffs

$$\begin{aligned} t &\mapsto \hat{t}(t) \\ x &\mapsto \hat{x}(t, x) \end{aligned}$$

covariant  
objects:

$$\left\{ \begin{array}{l} K_{ij} \equiv \frac{1}{2N} (\dot{\gamma}_{ij} - \nabla_i N_j - \nabla_j N_i) \\ a_i \equiv \frac{\partial_i N}{N}, \quad R_{ijkl}^{(3)}[\gamma_{ij}] \dots \end{array} \right.$$

# Non-Relativistic Gravity

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Action: 
$$S = M_P^2 \int d^3x dt N \sqrt{\gamma} \left[ K_{ij} K^{ij} - \lambda (K^i_i)^2 - V(\gamma_{ij}, N) \right]$$

$$V(\gamma_{ij}, N) = R_{(3)} + \frac{R_{(3)}^2 + \dots}{M_P^2} + \frac{R_{(3)}^3 + \dots}{M_P^4}. \quad (z = 3)$$

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Blas OP

Sibiryakov '09

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scalar mode: 
$$L_0^{(2)} = M_P^2 \left[ \frac{3\lambda - 1}{\lambda - 1} (\dot{\psi})^2 + \psi c_0^2 \Delta \left( \frac{1 + A_1 \frac{\Delta}{M} + \dots + A_1 \frac{\Delta^4}{M^4}}{1 + B_1 \frac{\Delta}{M} + \dots + B_2 \frac{\Delta^2}{M^2}} \right) \psi \right]$$

Original proposals	
$\alpha = 0$ 	$\alpha \rightarrow \infty$ Projectable, $N(t)$ 

$$c_0^2 = \frac{2 - \alpha}{\alpha} \frac{\lambda - 1}{3\lambda - 1} > 0$$

$$\Rightarrow 0 < \alpha < 2$$

# Non-Relativistic Gravity

Hořava '09

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Sibiryakov '09

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tensor modes: 
$$L_2^{(2)} = M_P^2 \left[ (\dot{h}_{TT})^2 + h_{TT} \left( 1 + \tilde{A}_1 \frac{\Delta}{M^2} + \tilde{A}_2 \frac{\Delta^2}{M^4} \right) h_{TT} \right]$$

$\exists$  choice of parameters  
for which  $z = 3$  in the UV

good UV behaviour *not* spoiled

# Stückelberg formalism

# Stückelberg formalism

Massive QED:

$$L = \frac{1}{4} F_{\mu\nu}^2 - m^2 A_\mu A^\mu \quad 2 + 1 \text{ d.o.f.}$$

Gauge invariance *restored* by introducing the **Stückelberg field**  $\phi$

$$A_\mu = \hat{A}_\mu - \partial_\mu \phi$$

$$L = \frac{1}{4} \hat{F}_{\mu\nu}^2 - m^2 (\partial_\mu \phi - \hat{A}_\mu)(\partial^\mu \phi - \hat{A}^\mu)$$

The Lagrangian is invariant under

$$\hat{A}_\mu \rightarrow \hat{A}_\mu + \partial_\mu \varepsilon$$

$$\phi \rightarrow \phi + \varepsilon$$

# Stückelberg formalism

Isolating the scalar mode:  $S = S_{GR} + S[\phi; \alpha, \lambda \dots]$  in covariant form

$\phi$  defines the Foliation structure:  $\langle \phi \rangle = t$

Shortcut: unbroken  $t \rightarrow \hat{t}(t)$  symmetry implies

$\phi \rightarrow f(\phi)$  internal symmetry

Quantum Chrono-  
Dynamics (QCD) !!

Invariants:  $u_\mu \equiv \frac{\partial_\mu \phi}{\sqrt{(\partial\phi)^2}}$  and its derivatives

$$S[\phi] = M_P^2 \int d^4x \sqrt{-g} \left\{ (\lambda - 1) \left( \nabla_\mu u^\mu \right)^2 + \alpha \left( u^\nu \nabla_\nu u_\mu \right)^2 + \dots \right\}$$

In the *unitary gauge* ( $\phi = t$ ) the action coincides with previous form

# Stückelberg formalism

Eg,  $(\lambda - 1)$  term is:

$$S[\phi] = (\lambda - 1) M_P^2 \int d^4x \sqrt{-g} \frac{1}{(\partial\phi)^2} \left( \square\phi - \frac{\partial^\mu\phi \partial^\nu\phi}{(\partial\phi)^2} \nabla_\mu \nabla_\nu \phi \right)^2 + \dots$$

Subtlety: **higher covariant derivatives**, yet no ghosts!

the e.o.m. contains, eg:  $\left[ g^{\mu\nu} - u^\mu u^\nu \right] \left[ g^{\rho\sigma} - u^\rho u^\sigma \right] \nabla_\mu \nabla_\nu \nabla_\rho \nabla_\sigma \phi + \dots = 0$

Always reduce to purely spatial derivatives

in the preferred frame defined by  $u_\mu \equiv \frac{\partial_\mu \phi}{\sqrt{(\partial\phi)^2}}$

Cauchy problem well posed with less initial data in the preferred frame

Similarly, pc-renormalizability not explicit (but present)

# Stückelberg formalism

Around flat space and  $\phi = t$ , let us study the **scalar mode**  $\phi = t + \chi(t, x)$

$$S[\chi] = M_P^2 \int d^4x \left[ \alpha (\partial_i \dot{\chi})^2 - (\lambda - 1) (\Delta \chi)^2 \right]$$

Disp. relation at low energies  $\omega^2 = \frac{\lambda - 1}{\alpha} k^2 + \dots$

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Naive strong coupling scale  $\Lambda \approx \sqrt{|\lambda - 1|} M_P$

If High. deriv. terms suppressed by  $M_* \leq \Lambda \Rightarrow$  **NO strong coupling!**

Projectable Horava ( $\alpha \rightarrow \infty$ ):  $c^2 < 0$

slow instabilities  $\Rightarrow \Lambda \simeq (100m)^{-1} \Rightarrow$  either  $\left\{ \begin{array}{l} \text{strong coupl} \\ \text{or ruled out} \end{array} \right.$

(Arroja Koyama, Blas OP Sibiryakov)



# Stückelberg formalism

Reparametrization symmetry  $\phi \rightarrow f(\phi)$

The conserved current  $\nabla^\mu J_\mu = 0$

is purely spatial  $u^\mu J_\mu = 0$  (no charge density)

Infinitesimal form of the symmetry is  $\chi \rightarrow \chi + f(t)$

At least one power of momentum in front of  $\chi$  everywhere

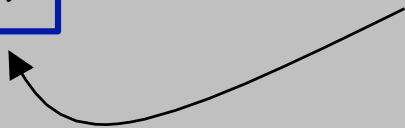
# Stückelberg formalism

## Coupling to matter

Chronon field,  $\chi = \phi - t$ , couples to matter through

$$L^{\chi\text{-matter}} = \chi \nabla^i j_i$$

*any* vector  
constructed  
from matter



(Dictated by reparametrization invariance,  $\chi \rightarrow \chi + f(t)$  )

In principle, non-Universal coupling => violations of Equivalence Principle

However, ~~EP~~ suppressed because derivative coupling

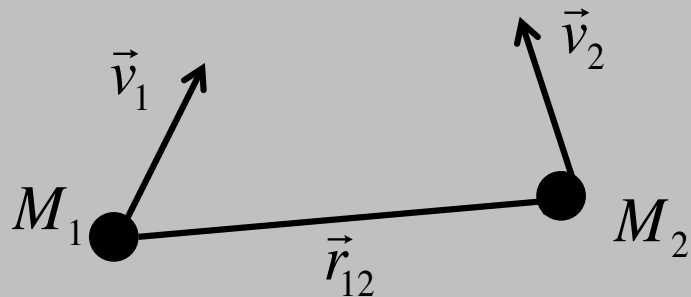
# Stückelberg formalism

## Chronon-force

If chronon couples **Universally**, then  $j_i = T_{0i}$

(because matter couples to effective metric  $\tilde{g}_{\mu\nu} = g_{\mu\nu} + \beta u_\mu u_\nu$ )

Velocity-dependent force, 
$$V = \beta^2 \frac{M_1 M_2}{r_{12}} [v_1 \cdot v_2 - (v_1 \cdot \hat{r}_{12})(v_2 \cdot \hat{r}_{12})]$$



# Phenomenology

# Phenomenology

The model reduces to a **Scalar-tensor** theory

the scalar displays  $\left\{ \begin{array}{l} \text{Lorentz breaking} \\ \text{derivative coupling} \\ \text{mixing with gravity} \end{array} \right.$

$$S = \int d^4x \sqrt{-g} \left( M_P^2 R + \Lambda^2 L^{(\phi)}[\partial_\mu \phi, \dots] + L^{(matter)}[\psi, g_{\mu\nu}, \partial_\mu \phi] \right)$$

Note:  $\Lambda \ll M_P$

-> Both lower and upper bounds on  $\Lambda$

**not** a fine-tuning

Phenomenology is very similar to  $\left\{ \begin{array}{l} \text{ghost condensation} \\ \text{Einstein-aether} \\ \text{(gauged gh condens)} \end{array} \right.$

Blas OP Sibiriyakov '09

Jacobson '10

# Newtonian potential vs cosmology

By mixing with graviton,  $\phi$  couples to matter so as to renormalize  $G_N$

$$\phi_N = -G_N \frac{M}{r}; \quad G_N = \frac{1}{8\pi M_p^2} \frac{1}{1 - \alpha/2}$$

$$H^2 = \frac{8\pi}{3} G_{\text{cosmo}} \rho; \quad G_{\text{cosmo}} = \frac{1}{8\pi M_p^2} \frac{1}{1 - 3(\lambda - 1)/2}$$

Observational bound (BBN):

$$\left| \frac{G_{\text{cosmo}}}{G_N} - 1 \right| < 0.13 \quad \Rightarrow \quad \alpha, (\lambda - 1) \lesssim 0.1$$

# Limits from PPN expansion

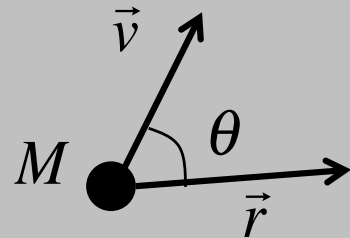
(PPN = "Parameterized Post-Newtonian" expansion)

$$\alpha_1^{PPN}, \alpha_2^{PPN}$$

parameterize preferred-frame effects

velocity with respect to preferred frame

eg



$$\rightarrow \phi_N = -\frac{G_N M}{r} \left( 1 + \frac{\alpha_2^{PPN}}{2} v^2 \sin^2 \theta \right)$$

Observational bounds:

$$\alpha_2^{PPN} \leq 10^{-7} \quad (\text{alignment of solar spin axis w.r.t. ecliptic})$$

$$\alpha_1^{PPN} \leq 10^{-4} \quad (\text{Lunar ranging, binary pulsars})$$

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# Limits from PPN expansion

(PPN = "Parameterized Post-Newtonian" expansion)

$\alpha_1^{PPN}, \alpha_2^{PPN}$  parameterize preferred-frame effects

In our model,  $\alpha_1^{PPN} = -4\alpha$        $\alpha_2^{PPN} = \frac{\alpha^2 / 2}{\lambda - 1} \sim \alpha$

$$\Rightarrow \alpha, (\lambda - 1) \lesssim 10^{-7}$$

$\Rightarrow$

$$\Lambda \lesssim 10^{15} \text{ GeV}$$

since  $\Lambda = \sqrt{\alpha} M_P$

# Lower bounds on $\Lambda$

Within gravitational sector:

Table-top tests of Newton's law  $\rightarrow \Lambda > 0.1 eV$

Assuming that UV scale  $M_*$  is the same in the Matter sector:

$$w^2 = c^2 k^2 + \frac{k^3}{M_{*3}} + \frac{k^4}{M_{*4}^2} + \dots$$

From GRB and AGN observations,  $M_* \geq 10^{10} \div 10^{11} GeV$

$$\Rightarrow 10^{10} \div 11 GeV \lesssim \Lambda \lesssim 10^{15} GeV$$

in the simplest model

# Conclusions

So far:

$\exists$  1 formulation that is  
free from instabilities, strong coupling or other basic pathologies

At low energies:

Lorentz-breaking scalar-tensor theory

Deviations from GR are small, theory can be weakly coupled

Observational bounds leave a window for UV scale

$$10^{10 \div 11} GeV \lesssim \Lambda \lesssim 10^{15} GeV$$

# Conclusions

So far:

$\exists$  1 formulation that is  
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Response to criticism:

- Papazoglou & Sotiriou '09 1) strong coupling claimed

absent if  $M_{\text{deriv}}^{\text{high}} \leq \Lambda_{\text{strong } c}^{\text{naive}}$

2) fine tuning? Not so.

(marginal/irrelevant operators)

# Conclusions

So far:

∃ 1 formulation that is  
free from instabilities, strong coupling or other basic pathologies

Response to criticism:

- Papazoglou & Sotiriou '09 ✓
- Kimpton & Padilla '10 Strong coupling re-claimed  
“ ∃ a decoupling limit that spoils UV scaling ”  
∃ *other dec. lims. that do not spoil scaling.*  
(In the appropriate limit, mixing with metric is important)

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Response to criticism:

- Papazoglou & Sotiriou '09 ✓

- Kimpton & Padilla '10 ✓

- Henneaux, Kleinschmidt & Lucena-Gomez '09 ✓

(also Pons & Talavera '10)

does not apply to extended model (nonlinear in lapse,  $N$ )

# Outlook

Many open questions :

- Recovery of **Lorentz Invariance** (matter sector): **fine tuning avoidance**
- Is it **really renormalizable** / **UV complete** ??

Is the  $\phi \rightarrow f(\phi)$  symmetry non-anomalous?

Absence of Landau poles?

Generalized 2<sup>nd</sup> law of thermodynamics obeyed?

- Is it consistent with all observations ?

Any stronger bounds?



# Outlook

## “Lorentz Fine-Tuning Problem”:

- $c$  is species- dependent
- Generically, RG flow generates  $c_i - c_j \neq 0$
- But  $c_i - c_j$  experimentally constrained  $\leq 10^{-20}$
- Severe fine-tuning

Collins Perez  
Sudarsky Urrutia  
Vucetich 04

Iengo Russo  
Serone 09

Would be trivial in a single species theory

$$L = (\dot{\phi})^2 + c^2 \phi \Delta \phi + \frac{\phi \Delta^2 \phi}{M^2} + \dots$$

← relevant operator

# Outlook

“Lorentz Fine-Tuning Problem”:

Possible Way out: SUSY

(~~Lorentz~~ SUSY = SUSY / boosts)

No Dim  $\leq 4$  ~~Lorentz~~ operators in ~~Lorentz~~ MSSM !

Groot-Nibbelink  
Pospelov '04

$$\{Q, \bar{Q}\} = \sigma^0 E + \underbrace{c}_{\text{blue oval}} \sigma^i P_i$$

$$\Rightarrow c_i - c_j \propto \frac{M_{SUSY}^2}{M_*^2} \quad \Rightarrow M_{SUSY} \leq 10^{-10} M_* \text{ is enough}$$

$$\Rightarrow \text{SUSY at } 1\text{GeV} - 10^2\text{TeV} \quad ??$$

# Outlook

## Renormalizability?

Second 'kosher' property (in addition to power-counting)

*Detailed Balance:* 
$$V(\gamma_{ij}) = \left| \frac{\delta W}{\delta \gamma_{ij}} \right|^2$$

(broken softly,  
otherwise  $\Lambda < 0$  )

$W[\gamma_{ij}] =$  action for (euclidean) 3D  
Topologically Massive Gravity (TMG)

'Quantum inheritance' + TMG is renormalizable -> actual renormalizability?

-> Supersymmetrizable ?

-> Generalization to scalar-tensor TMG?

-> Parity broken ??

# Outlook

Applications

# Outlook

Applications 1) Black Holes

BH notion really **not present**  
(no light-cone structure)

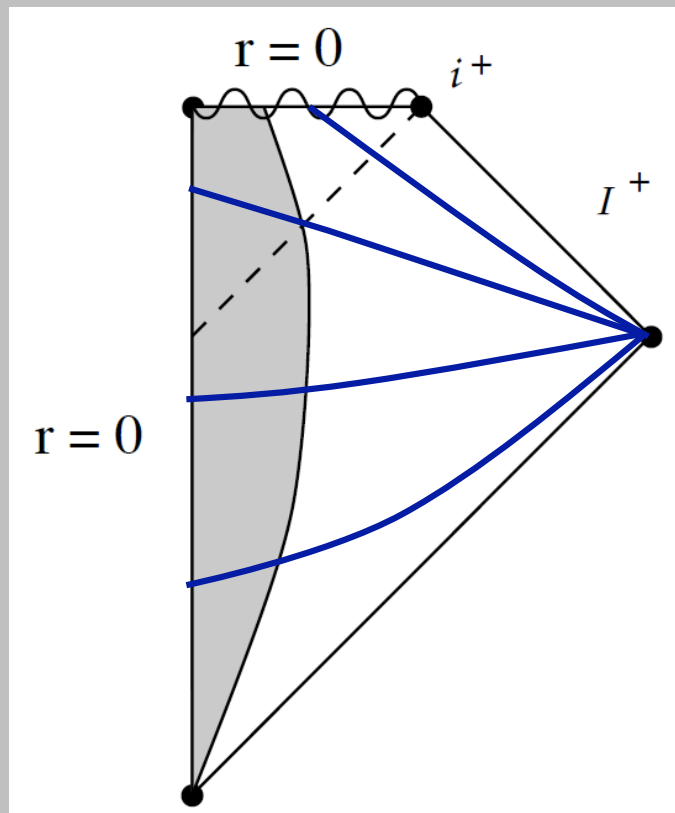
Still, approximate notion @ low energies should exist

-> meaning of BH entropy?

Theory contains instantaneous propagation of signals

-> access to BH interior ?

-> enough for info. paradox ?



*Kiritsis' talk*

*BHs in Einstein Aether*

# Outlook

## Applications 2) Cosmology

bouncing cosmologies

*Kiritsis' talk*

inflation

generation of scale-invariant perturbations

alignment between CMB and preferred frame

*Armendariz-Picon, Farina & Garriga*

## 3) 'Resolution' of singularities

Thank you!